

**SHAILESH KACHALIA**

Company Secretaries

Om Sri Co-Op. Hsg. Society, A Wing, Flat No. 7, First floor, Nr. Shanti Ashram,  
Off Link Road Borivali (West) Mumbai 400 103, Cell. 9892534153

Email: shaileshmay@gmail.com

To,  
The Board of Directors  
Hardcastle and Waud Manufacturing Company Limited  
Kalyan

**ANNUAL SECRETARIAL COMPLIANCE REPORT OF HARDCASTLE AND WAUD  
MANUFACTURING COMPANY LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined:

- (a) all the documents and records made available to me and the explanations provided by **Hardcastle and Waud Manufacturing Company Limited ("Listed Entity")**,
- (b) the filings / submissions made by the Listed Entity with the BSE Limited, Mumbai ("the Stock Exchange"),
- (c) website of the Listed Entity, and
- (d) all other documents / filings etc., as relevant, which have been relied upon to make this certification.

for the year ended 31.03.2022 ("Review Period") in respect of compliance with provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The relevant Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



(b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; and

(c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

and based on the above examination, I hereby report that, during the Review Period:

(a) The Listed Entity has complied with provisions of the above mentioned Regulations and circulars / guidelines issued thereunder;

(b) The Listed Entity has maintained proper records under provisions of the above mentioned Regulations and circulars / guidelines issued thereunder insofar as it appears from my examination of those records; and

(c) No action was taken against the Listed Entity, its promoters, directors either by SEBI or by the Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder.

Further there were no observations made in the previous report.

Place: Mumbai  
Date: 09.05.2022



✓  
Shailesh A. Kachalia  
FCS No. 1391  
C P No. 3888  
PR. 628/2019  
UDIN F001391D000287481